

Comparative vertical quality analysis using different methods for MBES data

Authors

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Abstract

Vertical quality evaluation in hydrographic surveys, particularly utilizing Multibeam Echosounders (MBES), presents challenges due to limited bathymetric information in obtaining homologous points and the absence of robust statistical criteria in depth estimation. This study addresses these challenges by proposing and validating the Point-to-Point (P2P) method, which prevents geostatistical interpolation while enhancing accuracy using a limited distance to search for the nearest neighbor and to find a probable homologous point. Three distinct methods were applied to compare depths of sounding lines and check line, namely Surface-to-Surface, Surface-to-Point, and Point-to-Point. The efficacy of the P2P method was established through a comprehensive evaluation of RMSE and ϕ_{Robust} , besides the discrepancies. This research underscores the significance of the P2P method, showcasing its superiority over conventional approaches and its potential to rectify the absence of statistical rigor in vertical quality assessment.

Keywords

hydrographic survey ·
multibeam echo sounder ·
statistical analysis

Resumé

L'évaluation de la qualité verticale dans les levés hydrographiques, en particulier à l'aide d'échosondeurs multifaisceaux (MBES), présente des difficultés en raison d'informations bathymétriques limitées dans l'obtention de points homologues et de l'absence de critères statistiques robustes dans l'estimation de la profondeur. Cette étude traite de ces difficultés en proposant et en validant la méthode point à point (P2P), qui évite l'interpolation géostatistique tout en améliorant l'exactitude grâce à l'utilisation d'une distance limitée pour rechercher le voisin le plus proche et pour trouver un point homologue probable. Trois méthodes distinctes ont été appliquées pour comparer les profondeurs des lignes de sonde et des lignes de vérification, à savoir de surface à surface, de surface à point et de point à point. L'efficacité de la méthode P2P a été démontrée par une évaluation complète des RMSE et ϕ_{Robust} en dehors des divergences. Cette recherche souligne l'importance de la méthode P2P, en mettant en évidence sa supériorité par rapport aux approches conventionnelles et son potentiel pour remédier à l'absence de rigueur statistique dans l'évaluation de la qualité verticale.

Resumen

La evaluación de la calidad vertical en los levantamientos hidrográficos, en particular usando Ecosondas Multihaz (MBES), presenta desafíos debido a las limitaciones de la información batimétrica al obtener puntos homólogos y la ausencia de criterios estadísticos robustos en la estimación de profundidades. Este estudio aborda esos desafíos proponiendo y validando el método de Punto a Punto (P2P), que evita la interpolación geoestadística y aumenta la exactitud usando una distancia limitada para buscar el vecino más cercano y encontrar un punto homólogo probable. Se aplicaron tres métodos diferentes para comparar profundidades de las líneas de sonda y de la línea de comprobación, que fueron el de Superficie a Superficie, el de Superficie a Punto, y el de Punto a Punto. Se determinó la eficacia del método P2P mediante una evaluación completa de RMSE y ϕ_{Robust} , aparte de las discrepancias. Esta investigación resalta la relevancia del método P2P, destacando su superioridad sobre los enfoques convencionales y su potencial para rectificar la falta de rigor estadístico en la evaluación de la calidad vertical.

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1 Introduction

Hydrography is defined as the science that describes the characteristics of water bodies. Thus, according to IHO (2005), the hydrographic survey consists of carrying out various measurements, such as tides and depth values. The main objective of a hydrographic survey is to compile data for building or updating nautical charts and publications.

The Brazilian Navy is responsible for producing, editing, and publishing Brazilian nautical charts and carrying out hydrographic surveys in Brazilian Waters. However, private companies are registered and authorized to collect and process bathymetric data for cartographic purposes. In this context, these companies must follow the guidelines stipulated in the NORMAM-25 (DHN, 2017) and S-44 (IHO, 2008).

Hydrographic surveys intended, for example, towards nautical cartography or port works, must fully comply with the specifications provided by Special Publication S-44, 5th edition (IHO, 2008; DHN, 2017). In this sense, the S-44 specifies four orders: Special Order, Order 1a, Order 1b, and Order 2. The International Hydrographic Organization (IHO) has already developed the sixth edition of S-44, which contains a fifth survey order, more restrictive than the special order, called the exclusive order (IHO 2020), summarized in Table 1.

In Table 1, Order 2 represents some areas where a general description of the seafloor is considered adequate. Order 1b considers areas under keel clearance not an issue for the type of surface shipping expected to transit the area. The 1a is for places where under-keel clearance is critical. Still, features of concern to surface shipping may exist. Therefore, the special order represents areas under keel clearance is critical, and the last, Exclusive Order, considers areas with a strict minimum under keel clearance and maneuverability criteria.

The parameter *a* means the uncertainty portion that does not vary according to the depth, and *b* is a coefficient representing that uncertainty portion that varies according to depth.

The THU and TVU parameters correspond to the total horizontal and vertical uncertainties of the bathymetric data and can be obtained in two ways. The first consists of assessing all sources of uncertainty in the survey system and, subsequently, applying the un-

certainty propagation law (covariance) as performed by Hare (1995) and Ferreira et al. (2016a). Although the methodology is widely used, it can only estimate the survey quality by analyzing the possible theoretical uncertainties of the components (hardware) of the sounding system (IHO, 2005; LINZ, 2010; Ferreira et al., 2016a). These uncertainties are classified as *a priori* (Ferreira et al., 2016b). The second one, considered the most appropriate, estimates the sampling uncertainty (*a posteriori*) based on “redundant” information in the same way that is carried out in surveying geodetic, topographic, and aero-photogrammetric data. Since obtaining redundancies or similar points in hydrographic surveys are generally unavailable, theoretical and practical equipment is used for these estimates. In the TVU case, which is the focus of this study, check lines (CL) crossing the sounding lines (SL) were used. Thus making it possible to obtain homologous points (the same depth value for the point on the CL and the point on the SL) and, subsequently, to calculate discrepancies and sample uncertainty.

Check lines are used for the vertical quality evaluation of depths collected by single-beam echosounders. However, due to the massive amount of data produced during the survey with multibeam sonars, the methodology used to obtain the discrepancy samples presents specific difficulties and, therefore, requires a different approach. The most common way to generate these samples is to produce digital depth models from the data, composed of sounding and check lines. The digital models from each track are compared pixel by pixel to generate a discrepancy file (Susan & Wells, 2000; Souza & Krueger, 2009; Eeg, 2010). However, digital models result from mathematical and/or geostatistical interpolations, presenting uncertainties in their estimates (Ferreira et al., 2013, 2015), compromising the quality of hydrographic survey analysis.

Souza & Krueger (2009) used data from a multibeam system to generate a bathymetric model and respective sample uncertainty. This system was able to create a vertical uncertainty expectation of ± 0.240 m. However, the smallest interval of sampling uncertainty in the hydrographic survey, with a 95 % confidence level, had its variation around ± 0.305 m, which demonstrates the existence of uncertainties that were not quantified

Table 1 Hydrographic survey order according to S-44 (IHO 2020).

Order	Exclusive	Special	1a	1b	2
Maximum THU allowed at 95 % confidence level	1 m	2 m	5 m + 5 % of depth	5 m + 5 % of depth	20 m + 10 % of depth
Maximum TVU allowed at 95 % confidence level	a = 0.15 m b = 0.0075	a = 0.25 m b = 0.0075	a = 0.50 m b = 0.013	a = 0.50 m b = 0.013	a = 1.00 m b = 0.023

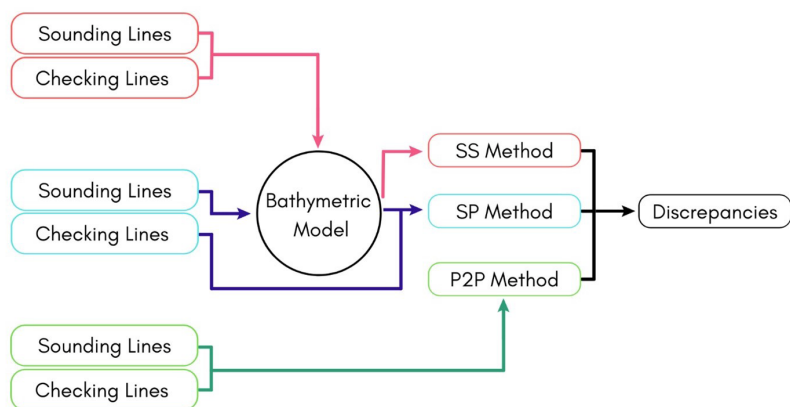


Fig. 1 Techniques for obtaining samples of discrepancies in an MBES survey.

and that possibly came from the interpolation process. Nascimento (2019) concluded that, by interpolating data in cells with dimensions above the planned spacing, it is possible to establish a safety margin for the interpolation of a surface without the occurrence of empty cells, using LiDAR data, with a spacing of 3 m x 3 m and double sweep (200 % coverage).

It should be noted that check lines do not indicate absolute accuracy since data are collected normally from the same survey platform. In this case, there are sources of potential and common uncertainties between the data obtained by the sounding and check lines. However, when a submerged strip is swatted again, either by verification or adjacent swaths, it is expected that the reduced depths are distributed around the real (unknown) depth. That is, information can be a good potential survey vertical quality indicator as long as the collected data receives coherent statistical treatment.

In this sense, this study applies three methods (Surface-to-Surface, Surface-to-Point, and Point-to-Point) to compare the depth found using the SL and CL. The work aims to validate the P2P method as a valuable tool to be used in MBES data without the need to use interpolators in the data. It also presents itself as an open tool with free access.

2 Materials and method

Specific methods are required to obtain discrepancy samples in the multibeam survey process (for example, beamformers or interferometers). Within this context, the present study addresses the application of three methods, here called Method SS (Surface-to-Surface), Method SP (Surface-to-Point), and Method P2P (Point-to-Point).

The flowchart in Fig. 1 summarizes the techniques used to obtain the discrepancy samples using the three methods covered in this study.

The SS method consists of the bathymetric model creating regular probing and verification swaths. The depths are compared pixel by pixel, aiming at the discrepancy file production (Souza & Krueger, 2009). This method depends on the bathymetric model res-

olution; that is, the number of discrepancies and the quality of the analysis are directly linked to the pixel size used in the interpolation process (Ferreira, 2018).

Another method applied is the Surface-to-point, or SP method, which uses only a bathymetric surface obtained through an interpolation process and generated from regular probing swaths, reducing uncertainties associated with the interpolation process. After generating the surface, it is compared with the depths calculated from the check lines to obtain the discrepancies. In the SP method, the sample size of the discrepancy file is proportional, above all, to the density of the point cloud of the verification swaths. The quality of the analysis depends on the data collected and their respective resolutions.

In another approach, in the P2P method, the discrepancy file is obtained by comparing sounding lines and check lines without using interpolation methods (Ferreira, 2018).

The P2P method is the main study object of this work. The first step is the application of filtering or cleaning the depth of the data collected. Then, the SL and CL are used as data input to the algorithm. After reading the SL, the intersection area between them is identified. Then, after extracting the respective points present in swaths, the algorithm uses a limited distance to search for the nearest neighbor and to find a probable homologous point on the SL with this limited distance.

So, after identifying the homologous points between the CL and SL, the P2P method calculates the discrepancies of the homologous points. With the generation of the discrepancy files, it is possible to analyze the vertical quality of the hydrographic survey.

Fig. 2 illustrates the methodology flowchart used to assess the vertical data quality through the discrepancies obtained by the three methods covered in this study. It should be noted that the applied methodology is based on basic theorems of classical and geostatistical methods, both implemented in software R (R Core Team, 2017).

Firstly, the georeferenced discrepancy samples were imported by the algorithm. The file must have positional coordinates X, Y (whether local, projected, or geodetic), depth (Z), and the discrepancy between "homologous depths" (dz).

The next step was to carry out the exploratory analysis of the discrepancy samples, which sought the presence of outliers within the data distribution with the aid of the SODA (Spatial Outliers Detection Algorithm) algorithm (Morettin & Bussab, 2004; Ferreira et al., 2013; Ferreira et al., 2019a; Ferreira et al., 2019b). This algorithm performs the detection of spikes and outliers in the bathymetric data collected by swath-sounding systems using different identification techniques or thresholds, namely the Adjusted Boxplot (Vandervieren & Hubert, 2004), Modified Z-Score (Iglewicz & Hoaglin, 1993), and the δ method. The latter was partly inspired by Lu et al. (2010), which applies spike detection thresholds based on the variances of subsamples.

At this stage, MAIB (Methodology for the Assessment of Uncertainty of Bathymetric data), proposed by Ferreira (2018), basically interprets the graphs produced (histograms, boxplot, Q-Q Plot, etc.), as well as does statistical analysis (mean, variance, minimum, maximum, asymmetry coefficients, and kurtosis, etc.). In general, the MAIB algorithm was developed to assess the vertical quality of bathymetric surveys through observations collected in the check lines, addressing issues about the independence and normality of the data and the presence or absence of outliers.

The next phase of the MAIB application estimated the vertical uncertainties by applying specific measures of theoretical accuracy through the analysis of RMSE (Root Mean Square Error) and ϕ_{Robust} (Mikhail & Ackerman, 1976; Ferreira et al., 2019a). The last method was created by Ferreira et al. (2019a) and uses the median (Q2) and the NMAD (Normalized Median Absolute Deviation) to estimate vertical uncertainty. ϕ_{Robust} consists of the square root of the sum of the square of Q2 and the square of NMAD.

Constructing statistically optimal confidence intervals is necessary to evaluate the data distribution and spatial autocorrelation. In this context, the next step was to analyze sample independence. For this, we opted to use the semi-variogram, a robust tool used by geostatistical to evaluate the spatial data autocorrelation (Matheron, 1965).

After the independence between the discrepancy samples was verified, normality tests were used. In this work, the Kolmogorov-Smirnov (KS) test was used at the 95 % confidence level since the Shapiro-Wilk application is limited to samples with up to 5,000 points (Filho, 2013). The KS test assesses the similarity between sample and reference distributions. The main purpose is to determine whether evidence suggests that the two distributions significantly differ from each other (Doob, 1949). If the test provides a p-value > 0.05 as a response, the sample is said to be normal at the 5 % significance level. Therefore, from this stage onwards, MAIB suggests subdividing the analysis into three categories: independent and normal samples, independent and non-normal samples, and dependent samples. The final step consisted of processing the data obtained for each category subdivided by MAIB.

In the case of samples that showed to be dependent, the 95 % confidence interval was determined using Block Bootstrap, as described in (Lahiri, 1999; Lahiri, 2003; Lee & Lai, 2009; Kreiss & Paparoditis, 2011). The Block Bootstrap method is a sampling approach to estimate the significance of the statistics test (Efron & Tibshirani, 1993; Davison & Hinkley, 1997; Zoubir, 2004; Mudelsee, 2010). This study used an R environment to implement the methodology and the rest. In the algorithm, the user must provide the block's diagonal size and the number of bootstrap replications. The diagonal is proposed to be equivalent to the distance within which the data

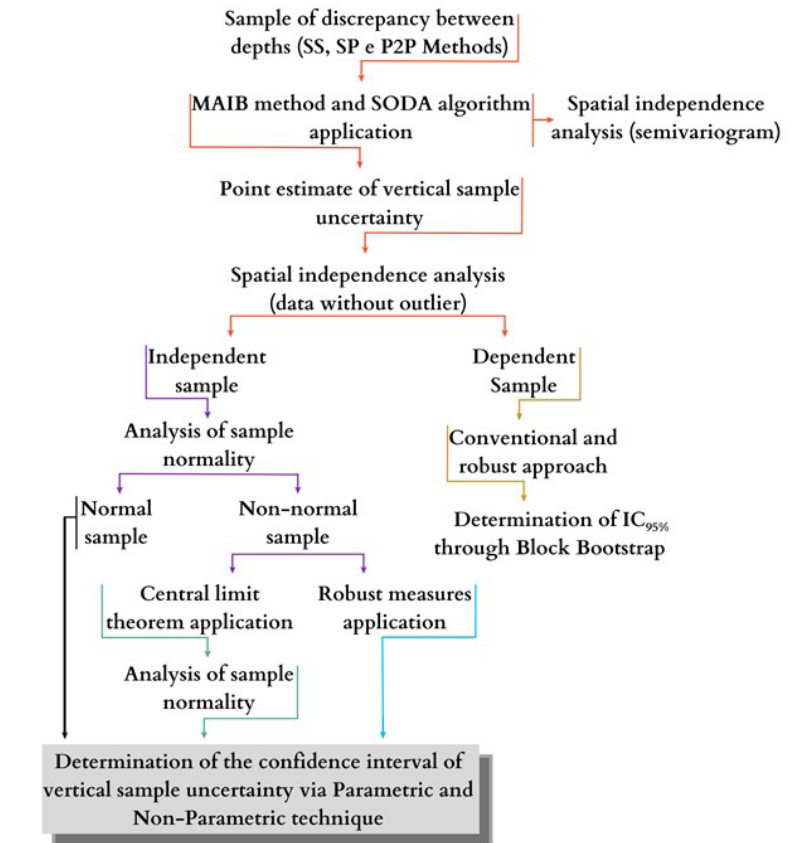


Fig. 2 A proposed method for interval evaluation of vertical uncertainty in swath data.

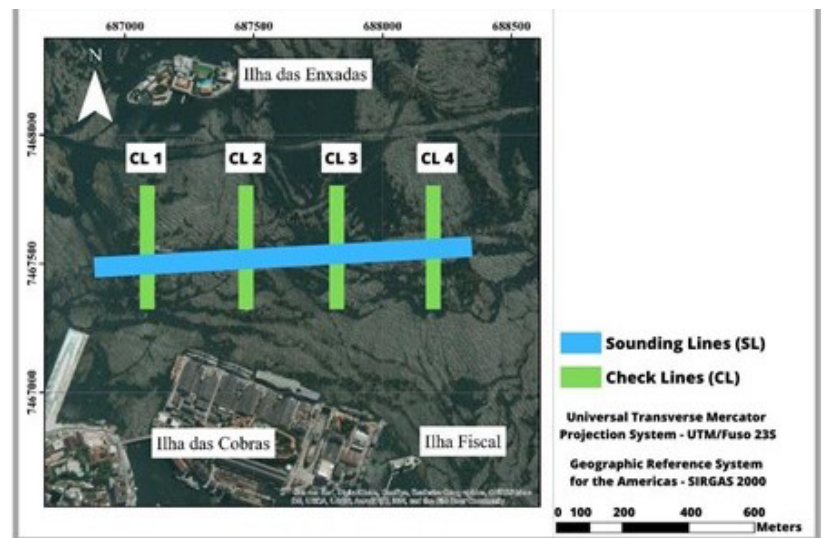


Fig. 3 Reference area for obtaining raw data.

are correlated, the range. Furthermore, replications must exceed 500 (Ferreira, 2018).

3 Results

From the hydrographic survey carried out in the region of Porto in Rio de Janeiro, between Enxadas Island and Cobras Island (Fig. 3), it was possible to obtain the bathymetric data that served as a basis for applying the above-proposed methodologies.

The raw data were collected using a swath system composed of a multibeam echosounder (R2 Sonic

Table 2 Regular swaths obtained from the hydrographic survey.

Line name	Swath type	Number of points	SODA – δ method
			% Spikes
SL1	Regular	2,342,330	-
SL2	Regular	2,263,971	-
SL3	Regular	2,305,298	-
SL	Regular	6,911,599	0.265
CL1	Verification	777,998	0.001
CL2	Verification	952,299	0.002
CL3	Verification	809,704	0.004
CL4	Verification	802,954	0.091

2022) with a frequency of approximately 200 kHz. Three adjacent regular swaths were used (summarized in an SL file), and four CL. SL and CL were first pre-processed in the Hysweep software (Hypack, 2020). Then, the three-dimensional coordinates in XYZ format were obtained after analyzing and correcting latency, sound speed, attitude, and tide.

The study area has a flat submerged bottom, with few variations, and it should be mentioned that the SL and CL sounded in the same survey.

The sounding lines were gathered into a single SL file (Table 2). The files were used to obtain the results to be analyzed later.

The last column shows the percentage of spikes found and are configured as spurious depths (outliers) in hydrographic surveys. The SODA algorithm was briefly used to detect spikes in this phase, which us-

es the δ method to identify spurious depths (Ferreira, 2018; Ferreira et al., 2019). It can be said that performing spatial modeling without trend and with minimal variance can strengthen the spike detection technique.

After obtaining the three-dimensional coordinates, the methods for acquiring discrepancy files were developed according to the steps illustrated in Fig. 2. In this context, a geostatistical analysis was first performed to generate the bathymetric models. This analysis was followed by Simple Kriging, which aimed at standardizing the data. It should be noted that the resolution of a bathymetric model must be half the size of the smallest object intended to be detected/represented. In this study case, for hydrographic surveys of Special Order, where the detection of cubic structures with 1 cubic meter is a mandatory edge, the bathymetric surface resolution must be greater than 0.5 m (IHO, 2008; Vicente, 2011).

According to (Viera, 2000) and (Ferreira et al., 2013), after geostatistical modeling, it is recommended to use a cross-validation process, for example, self-validation or leave-one-out. Vieira (2000) affirms that through cross-validation, it is possible to obtain different statistical indicators (standard error, sum of the residuals' squares, and determination coefficient R²), which assess the quality of geostatistical analyses. The results obtained are available in Table 3.

The theoretical model indicates which type of kriging adjustment better suits the sample inserted in the algorithm. Then, the methods for obtaining the discrepancy samples were applied from the bathymetric models. The discrepancy files obtained correspond to the intersection area of each of the four check lines with the sounding line (Fig. 3).

For the P2P method, in addition to the discrepancies obtained through the intersections between the SL and the CLs (*dp1*, *dp2*, *dp3*, and *dp4*), discrepancies were also obtained from the sounding lines overlap, which resulted in the *dp5* and *dp6* files. For the SS method, the bathymetric grids were compared and obtained the discrepancies files *dp1_ss*, *dp2_ss*, *dp3_ss*, and *dp4_ss*. Finally, in the case of

Table 3 Results of the geostatistical analysis.

Bathymetric model	Sample size	Theoretic model	Cross-validation		
			RMSE (m)	a (m)	b (m)
SL	6,893,289	Stable	0.027	0.993	0.095
CL1	777,991	Gaussian	0.018	0.999	0.001
CL2	952,281	Stable	0.017	0.999	0.001
CL3	809,672	K-Bessel	0.012	0.999	0.000
CL4	802,227	Gaussian	0.013	0.999	0.008

the SP method, the bathymetric model constructed based on regular sweeps was compared with the depths calculated through the check lines. This comparison resulted in the discrepancies in files *dp1_sp*, *dp2_sp*, *dp3_sp*, and *dp4_sp*.

The discrepancy files were submitted to the SODA methodology developed by Ferreira et al. (2019a) for outlier detection. The methodology underwent changes when it was used to investigate the discrepancy. More information on the use of the SODA methodology can be seen in the research done by Ferreira (2018) and Ferreira et al. (2019a).

It should be noted that the geostatistical analyses were used to generate standardized residues (SRs) when the user noted a spatial autocorrelation. Thus, the search for discrepancies was applied to the SRs, as mentioned in the SODA methodology. The search radius was three times the distance in all analyses. Table 4 summarizes the results obtained at this processing stage. Notably, the δ Method is based on the median (Q2) and the constants *c* and δ . The constant *c* takes the value 1 for irregular reliefs with high variability, 2 for wavy reliefs (medium variability), and 3 for flat reliefs. The user must enter this value. The algorithm automatically determines the constant δ by evaluating the Global Normalized Median Absolute Deviation (NMAD).

After applying the SODA methodology, the MAIB analysis was performed. As this work compares the traditionally applied methods with the methodology developed by Ferreira (2018), a summary of the traditional analysis carried out based on S-44 tolerances (IHO, 2008) is shown in Table 5. In addition, this table also presents the values of RMSE and ϕ_{Robust} that served as a basis to compute the vertical sample uncertainty (Ferreira et al., 2019b).

The *dp5* and *dp6* samples were used to evaluate and validate an estimate of the sampling uncertainty obtained by the overlap between sounding lines. The other samples (*dp1*, *dp2*, *dp3*, and *dp4*) presented, on average, 99.99 % of the discrepancies with values below the tolerance stipulated in S-44. The same average value was found for samples *dp5* and *dp6*.

For sample uncertainty point estimation with the RMSE and ϕ_{Robust} , the *dp5* and *dp6* samples had mean values of 0.045 m and 0.043 m, respectively. The values are mathematically equivalent compared to results obtained through the check line surveys. It should be noted that the *dp6* sample obtained a value of ϕ_{Robust} higher than the value of RMSE, something quite unusual but possible to occur. It was observed that this occurred mainly due to the nature of the frequency distribution of the data set used, explaining this change.

After that stage, we proceeded to the last data evaluation process with the continued application of the MAIB methodology. The results obtained are described in Table 6.

Table 4 Detection of outliers by applying the SODA methodology.

Method	Filename	SODA
		% Spikes
P2P	<i>dp1</i>	0.063
	<i>dp2</i>	0.049
	<i>dp3</i>	0.028
	<i>dp4</i>	0.120
	<i>dp5</i>	0.170
	<i>dp6</i>	0.155
SS	<i>dp1_ss</i>	0.0117
	<i>dp2_ss</i>	5.296
	<i>dp3_ss</i>	9.365
	<i>dp4_ss</i>	0.511
SP	<i>dp1_sp</i>	3.111
	<i>dp2_sp</i>	10.629
	<i>dp3_sp</i>	20.085
	<i>dp4_sp</i>	9.328

4 Discussion

4.1 Bathymetric model uncertainty and outlier detection

From the data in Table 3, the generated bathymetric models have low uncertainty. This conclusion is supported by the values of RMSE, Average Error, and coefficient *b* which consistently exhibit null or near-zero values. In contrast, coefficient *a* consistently exceeds 0.99 m across all models.

Shifting the focus to applying the SODA algorithm for outlier detection (Table 4), attention is drawn to the sample *dp1_ss*, demonstrating the lowest proportion of outliers. Among the 29,792 discrepancy samples obtained, only 35 (equivalent to 0.12 % of the entire set) were flagged as anomalous. Conversely, the *dp3_sp* sample showcases a contamination percentage surpassing 20 %. Among the diverse methods scrutinized in this study, the P2P method yields the most modest proportion of outliers. This observation aligns with the notion that the magnitude of outliers is directly correlated with the sample size of the dataset.

Table 5 Traditional analysis of the Hydrographic Survey and estimate of Robust for an average depth of 15,600 m.

Method	Filename	Tolerance Range for Special Order (m)	% discrepancies met by tolerance	RMSE (m) (raw data)	ϕ_{Robust} (m) (raw data)
P2P	<i>dp1</i>	[-0.276;0.276]	100	0.048	0.046
	<i>dp2</i>		100	0.051	0.045
	<i>dp3</i>		100	0.037	0.031
	<i>dp4</i>		100	0.035	0.030
	<i>dp5</i>		99.96	0.045	0.036
	<i>dp6</i>		100	0.045	0.049
SS	<i>dp1_ss</i>	[-0.276;0.276]	97.53	0.113	0.076
	<i>dp2_ss</i>		91.31	0.538	0.064
	<i>dp3_ss</i>		74.43	1.680	0.105
	<i>dp4_ss</i>		61.82	0.944	0.123
SP	<i>dp1_sp</i>	[-0.276;0.276]	97.55	0.114	0.083
	<i>dp2_sp</i>		97.55	0.114	0.083
	<i>dp3_sp</i>		89.76	0.599	0.064
	<i>dp4_sp</i>		60.46	0.972	0.143

4.2 Comparative analysis of methods

Turning to the outcomes presented in Table 5, it becomes evident that employing the P2P method results in the classification of the survey under the Special Order category. On average, a remarkable 99.99 % of discrepancies fall within the tolerance set by S-44. However, recognizing the susceptibility of the RMSE estimator to outliers, preference is given to the ϕ_{Robust} estimator for its superior suitability (Ferreira et al., 2019b). This choice is reinforced by the ϕ_{Robust} estimator's average sample uncertainty of approximately 0.038 m. Considering the parallel evaluation of average sample uncertainty values obtained through both estimators, it becomes apparent that these values affirm the quality of the collected data within the study area and validate the effectiveness of the P2P method.

4.3 Validation of proposed methodology

Directing attention to the SS method, an initial analysis might suggest that, *prima facie*, the hydrographic survey may not align with the intended order. This inference arises from the sole instance (*dp1_ss*) exhibiting more than 95 % of discrepancies within the S-44

stipulated tolerance range. However, upon analyzing the average of discrepancies across all four files, only 81.27 % of values fall within the S-44 range. As for the sample uncertainty point, the estimator yields an average of 0.819 m for RMSE and 0.092 for ϕ_{Robust} . Discrepancies between these values hint at outliers masking the accuracy of vertical bathymetry analysis. The most substantial discrepancy between estimators is evident in the *dp3_ss* sample, exhibiting a notable 1.575 m difference, followed by *dp4_ss*, *dp2_ss*, and *dp1_ss*.

Comparable results of a similar order of magnitude emerge from the SP method as in the SS method. The SP method showcases a solitary instance (*dp1_sp*) aligning with the tolerable range for Special Order in percentage. On average, around 80 % of discrepancies fall within the 95% confidence interval specified by S-44, suggesting a misalignment of the survey with the intended order. Notably, the point uncertainty estimates (RMSE and ϕ_{Robust}) average 0.906 m and 0.098 m, respectively. Analogous to the SS method, the most pronounced difference is observed in the *dp3_sp* sample, trailed by *dp4_sp*, *dp2_sp*,

Table 6 Detection of outliers by applying the SODA methodology.

Method	Filename	Independence analysis	Normality analysis	RMSE (m) (Processed data)		ϕ_{Robust} (Processed data)	
				Punctual	IC _{95%}	Punctual	IC _{95%}
P2P	<i>dp1</i>	Independent	Non-normal	0.060	[0.057;0.067]	0.046	[0.042;0.051]
	<i>dp2</i>			0.058	[0.054;0.065]	0.044	[0.044;0.050]
	<i>dp3</i>	Dependent	Not applicable	0.035	[0.034;0.039]	0.031	[0.026;0.032]
	<i>dp4</i>			0.034	[0.033;0.038]	0.030	[0.028;0.031]
	<i>dp5</i>	Independent	Non-normal	0.038	[0.036;0.042]	0.036	[0.033;0.037]
	<i>dp6</i>	Dependent	Not applicable	0.044	[0.040;0.046]	0.049	[0.046;0.052]
SS	<i>dp1_ss</i>	Dependent	Not applicable	0.111	[0.100;0.112]	0.076	[0.073;0.077]
	<i>dp2_ss</i>			0.311	[0.241;0.461]	0.060	[0.058;0.071]
	<i>dp3_ss</i>			1.559	[1.468;1.713]	0.081	[0.077;0.104]
	<i>dp4_ss</i>			0.943	[0.096;0.963]	0.120	[0.112;0.122]
SP	<i>dp1_sp</i>	Dependent	Not applicable	0.101	[0.092;0.119]	0.077	[0.069;0.091]
	<i>dp2_sp</i>			0.078	[0.059;0.080]	0.057	[0.044;0.065]
	<i>dp3_sp</i>			0.765	[0.743;0.795]	0.061	[0.055;0.076]
	<i>dp4_sp</i>			0.891	[0.880;0.900]	0.100	[0.092;0.122]

and *dp1_sp* samples. This prompts a parallel application of considerations similar to those applied to the SS method.

Considering the analysis encapsulated in Table 6, the divergent outcomes attributed to the methods used for obtaining discrepancy samples can be rationalized. The SP and SS methods, grounded in mathematical interpolators, deviate due to the geo-statistical methods employed in this study (Ferreira, 2018). Based on the obtained outcomes, emphasis is warranted on the P2P method, which is recommended for traditional hydrographic survey analyses.

Among the samples employed within the P2P-method, spatial independence is evident in three cases (*dp1*, *dp2*, and *dp5*). Subsequently, the Kolmogorov-Smirnov (KS) normality test is applied at the 95 % confidence level. This test underscores the lack of adherence to normality, attributed to a p-value ≈ 0 . Consequently, the Central Limit Theorem (CLT) takes precedence (Ferreira, 2018; Ferreira et al., 2019b). The CLT posits that, with a growing sample size, the sam-

ple mean distribution tends towards a standard normal distribution (Fischer, 2010). The formation of groups is realized by opting for a cluster size of 4, denoting the minimum number of elements advised for a group, and employing Euclidean distance for dissimilarity assessment (Reynolds et al., 1992). This culminates in deriving the average of discrepancies for each group to establish CLT samples from the database.

Concluding from the results spotlighted in Table 6, the P2P method emerges as a more coherent and efficacious choice than the SS and SP methods. Notably, a conspicuous pattern arises by juxtaposing the data involving outlier presence (Table 5) with the outlier-free bases (Table 6). Specifically, the mean ϕ_{Robust} values stand at null for the P2P method, 0.008 for the SS method, and 0.024 for the SP method. These outcomes underscore the supremacy of the proposed method, underpinned by the theoretical premise that the mean difference in ϕ_{Robust} statistics should be null, irrespective of the presence of discrepant data.

Regarding RMSE values, the P2P, SS, and SP methods exhibit averages of -0.007 m, 0.088 m, and 0.447 m, respectively. Susan & Wells (2000) and Eeg (2010) have previously applied the RMSE estimator to gauge survey uncertainty and classify it in line with S-44. More significant differences were expected in this analysis since outliers highly influence the RMSE estimator. A plausible rationale attributes this variation to the superior data quality collected. However, it is noteworthy that the P2P method presents the most distinct value among the analyzed methods, suggesting that estimates derived from outlier-derived data are comparatively lower than those found in the proposed methodology. The evidence corroborates the estimates produced by CLT in the case of samples *dp1* and *dp2*.

Referring to the results presented in Table 6, even with excluding outliers, the RMSE outcomes for *dp1* and *dp2* samples mathematically surpass those computed via the traditional method outlined in Table 6. This discrepancy is rooted in the CLT's behavior (Fischer, 2010). Applying this theorem tends to amplify the point estimate of sample uncertainty. Conversely, confidence interval estimates exhibit marked consistency and reliability.

Analyzing the *dp3* and *dp4* samples through the lens of the P2P method, one observes close alignment with outcomes derived from the traditional method. However, variance in confidence intervals persists. While RMSE values suggest convergence or near-convergence, such proximity masks the contribution of outliers. Conversely, ϕ_{Robust} values highlight the effect of high-quality data coupled with the P2P method's robustness. Rooted in the proposed methodology and study development, the surveyed domain can be classified within the Special Order/Category A, per the adopted regulations. These outcomes materialize upon the application of the traditional method. Notably, the generation of these integral intervals is uniquely attributed to the methodology advanced in this work.

In the case of the SS method, the *dp2_{ss}* and *dp3_{ss}* samples, encompassing both outlier-rich and outlier-free datasets, yield RMSE estimates that significantly diverge. However, concerning the ϕ_{Robust} estimator, barring the *dp3_{ss}* sample, all bases yield either millimeter or zero disparities. Leveraging the Block Bootstrap method, the confidence intervals constructed for both SS and SP methods attest to their accuracy and high quality. Occasional inconsistencies in the 95 % confidence intervals, as anticipated, prompt iterative recalibration by augmenting the number of bootstrap replications. Regarding normative classification, aligning with Special Order and Category A, the survey's outcome remains consistent with traditional analysis. A robust approach, collectively and individually, engenders 95 % confidence interval estimates.

Comparatively, the SP method yields less promising results. Contrasting RMSE values exhibited in Ta-

bles 5 and 6, an average difference of approximately 50 cm surfaces. This disparity signifies the prevalence of outliers within this method, potentially obfuscating the evaluation of hydrographic survey vertical quality (Ferreira, 2018). When analyzed individually, all samples exhibit substantial disparities. However, the robust estimator paints a more optimistic picture for the SP method. Nevertheless, juxtaposed against the other examined methods (SS and P2P), the SP method's inefficiency manifests. Notwithstanding, the values generated through the Block Bootstrap method retain consistency and reliability for the SP method.

Finally, upon applying the SP method for the S-44 (IHO, 2008) classification, only the ϕ_{Robust} estimator yields results consistent with the Special Order. Regarding RMSE, at the 95 % confidence level, outcomes reveal that samples *dp1_{sp}* and *dp2_{sp}* feature intervals align with S-44 stipulated tolerances. However, the general average across all four files deviates, implying the survey is classified in the desired order and class.

The effort to validate interval estimation is evident in evaluating sampling uncertainty via overlapping sounding lines at the 95 % confidence level. To this end, *dp5* and *dp6* samples were generated, where *dp5* showcases sample independence. Despite applying CLT yielding unanticipated outcomes, reliance on the robust approach offers a viable estimation of sampling uncertainty and confidence intervals. However, it is pertinent to note that the conventional approach's reliability could be more robust. Analysis of RMSE estimates (Tables 5 and 6) underscores the *dp6* sample's mere 1 mm disparity.

For the *dp5* and *dp6* samples, the ϕ_{Robust} estimator yields congruent outcomes across the analyzed sets. Evaluating bathymetric survey quality via check lines yields a robust estimator-estimated point uncertainty equivalent to 0.039 m. In contrast, the successive SLs generate a value of 0.044 m, indicating a mere 5-millimeter disparity. Notably, the confidence intervals remain statistically equivalent in amplitude across both scenarios. This substantiates the evaluation's feasibility, accuracy, and consistency via adjacent overlap of sounding lines, rendering it a practical and implementable alternative.

5 Conclusion

The main objective of this study was to propose a new technique for homologous depth extraction collected through swath systems called the P2P method. This new technique was compared to the methods commonly used among the hydrographic community (SS and SP). Through a thorough investigation, it was possible to verify greater accuracy and consistency of the P2P method through the values obtained for RMSE and ϕ_{Robust} , highlighted in Tables 5 and 6, in all the analyzed cases.

After evaluating the hydrographic survey in question, known to be classified in Category A/Special Order, it was possible to verify that, using only the

discrepancy data generated by the application of the P2P method, results were generated capable of classifying the survey in the intended category/order. The SP and SS methods reflected the real quality of the bathymetric data associated with the robust estimator proposed by Ferreira et al. (2019c).

Therefore, the P2P method is suggested for generating discrepancies, given that the other methods (SS and SP) proved ineffective. Thus, the results generated by applying the proposed method allowed us to conclude the superiority of the P2P method.

Another problem related to traditional methods (SS and SP) is that they produce more homologous points, which results in time-consuming computational analyses. Added to this is that the SS and SP techniques are based on bathymetric models, which adds uncertainty to the process, generating flawed analyses.

As for the vertical quality analysis with the discrepancies of the overlapping of successive sounding lines, it was concluded that such a method is effective and applicable. Thus, the realization of check lines, in general, is dispensable. Therefore, it was possible to conclude that the objective of this study was achieved since the methodology developed is appropriate, accurate, and consistent.

The study in question deals with unpublished proposals, indicating what improvements should be made. For future work, it is suggested to perform upgrades in the developed algorithm to minimize the computational processing time. Implementing the algorithm within a GIS (Geographic Information System) software is recommended, allowing the user to perform the processing semi-automatically without exporting data to a text file. In addition, further studies are also recommended on the successive overlap of regular swaths in different study areas.

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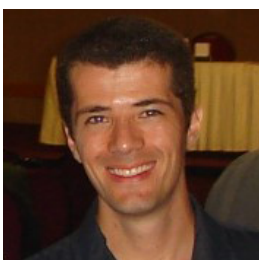
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